

## ***Simplify and Streamline Compliance in One Solution***

### RegEd Solution

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RegEd's *CE Central* solution simplifies the management of producer compliance with state CE requirements. *CE Central* marries licenses held in user profiles with jurisdictional requirements to create a single, comprehensive view of each producer's requirements. Individual producers can view state-specific requirements and select and register for courses from a course menu. *CE Central* also enables administrators to view the status of all producers, enroll them in courses and notify them electronically.

*CE Central* can directly interface with many CE providers for automatic collection of course completion information. RegEd's solution provides comprehensive reports for administrative review and management oversight. Users also have the option to directly enter self-study, conference and seminar credits. *CE Central* can be private labeled and conveniently integrated as another service on a firm's producer services website.

### Distinguishing Capabilities

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- View a single, comprehensive snapshot of each producer's profile, including current licenses held and jurisdictional requirements.
- Access comprehensive reports on course completion information for administrative review and management.
- Automate the import of completed courses into CE Central from 3<sup>rd</sup> party vendors.
- Send email notifications to users regarding CE requirements and upcoming deadlines, license renewal dates and courses pending completion.



### Benefits

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- Enable strong compliance by providing firms with powerful oversight.
- Automatically collect course completion information and view accurate, current CE credit data.
- Enjoy convenient registration and administration, as well as maximum flexibility.
- Stay on track with email reminders alerting users of CE deadlines and license renewal dates.
- Easily access CE Central when integrated the firm's producer services website.

#### ***Why Choose RegEd?***

RegEd is the leading provider of compliance management, licensing and registration and compliance and product education solutions to the financial services industry. Our solutions deliver unparalleled levels of operational efficiency and allow firms to cost-effectively comply and manage risk associated with state, FINRA and SEC regulation.

- An investment in RegEd technology translates into extraordinary long-term value. Firms can cost-effectively build on their initial investment as their needs grow and evolve, maximizing the return for their compliance spend.
- RegEd solutions are continuously innovated and expanded using input from solution-specific client advisory boards.
- Clients view RegEd as an extension of their organization. They are assigned an experienced implementation project team and have a dedicated support team, including a relationship manager, product support experts, and experienced, engaged customer service professionals.